

UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. 1.53(b)

Attorney Docket No.	NTL-3.2.149/3550 (12767HUUS01U)
First Inventor:	Peter Tavernese, Jr.
Title	Customer Service Response System For Interaction With Customer Service Agents
Express Mail Label No.	EL694432953US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification Total Pages [13]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Pages [2]
5. Oath or Declaration Total Pages [2]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR § 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
16. ☒ Request and Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: Check for \$974.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Per application information: Examiner: Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS. Only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 26784 or ☒ Correspondence address below
(Insert Customer No. Or Attach bar code label here)

Name	COBRIN & GITTES				
Address	750 Lexington Avenue, 21 floor				
City	New York	State	New York	Zip Code	10022
Country	U.S.A.	Telephone	(212) 486-4000	Fax	(212) 486-4007

Name (Print/Type)	Richard M. Lehrer, Esq.	Registration No. (Attorney/Agent)	38,536
Signature	<i>Richard M. Lehrer</i>	Date	December 20, 2000

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FEE TRANSMITTAL
for FY 2001*Patent fees are subject to annual revision.*

Complete if applicable

Application Number to be assigned

Filing Date concurrently herewith

First Named Inventor Peter Tavernese, Jr.

Examiner Name to be assigned

Group/Art Unit to be assigned

Attorney Docket No. NTL-3.2.149/3550 (12767HUUS01U)

TOTAL AMOUNT OF PAYMENT (\$974.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: [03-2317]

Deposit Account Name: [COBRIN & GITTES]

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.272. ☒ Payment Enclosed:☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Small Entity

Code

(\$)

Code

(\$)

Fee Description

Fee Paid

105

130

205

65

Surcharge - Late filing fee or oath

127

50

227

25

Surcharge - late provisional filing fee or cover sheet

139

130

139

130

Non-English specification

147

2,520

147

2,520

Request for Reexamination

112

920*

112

920*

Req. publication of SIR prior to Examiner action

113

1,840

113

1,840

Requesting publication of SIR after Examiner action

115

110

215

55

Extension within first month

116

390

216

195

Extension within second month

117

890

217

445

Extension within third month

118

1,390

218

695

Extension within fourth month

128

1,890

228

945

Extension within fifth month

119

310

219

155

Notice of Appeal

120

310

220

155

Brief in support of an appeal

121

270

221

135

Request for oral hearing

138

1,510

138

1,510

Petition to institute a public use proceeding

140

110

240

55

Petition to revive - unavoidable

141

1,240

241

620

Petition to revive - unintentional

142

1,240

241

620

Utility issue fee (or reissue)

143

440

243

220

Design issue fee

144

600

244

300

Plant issue fee

122

130

122

130

Petitions to the Commissioner

123

130

123

130

Petitions related to provisional applications

126

180

126

180

Submit Info. Disclosure Stmt

581

40

581

40

Patent Assignment per property

40.00

146

710

246

355

Filing a submission after final rejection (37 CFR 1.129(a))

149

710

249

355

For each additional invention to be examined (37 CFR 1.129(b))

179

710

279

355

Request for Continued Examination (RCE)

169

900

169

900

Request for expedited examination of a design appln.

SUBTOTAL (2)

(\$224.00)

Other fee (specify)

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic filing fee Paid

SUBTOTAL (3)

40.00

SUBMITTED BY

Complete (if applicable)

Name (Print Type)

Richard M. Lehrer

Registration Number

38,536

Telephone

(212) 486-4000

Signature

Richard M. Lehrer

Date

December 20, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commissioner for Patents,

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**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(I)**

First Named Inventor	Peter Tavernese, Jr.
Title	Customer Service Response System For Interaction With Customer Service Agents
Atty Docket Number	NTL-3.2.149/3550

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/21/00

Date

Richard M. Lehrer

Signature

Richard M. Lehrer

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(III)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.